# Ground State of a Spin-Phonon System. III. Small- $B$ Limit 

Eugene P. Gross ${ }^{1}$

Received June 28, 1988


#### Abstract

The ground state of the spin-one-half acoustic phonon system is studied in the limit $B \ll 1$. The technique is to combine the Brillouin-Wigner variational perturbation theory with a source canonical transformation. With the $B=0$ source transform the energy is calculated as a function of coupling constant through terms of order $B^{2}$. To this order there is no phase transition. The theory gives the crossover from perturbation theory to an improved classical theory with quantum fluctuations. With a source transform with a nonzero inverse length $\beta$ the energy estimate is further improved to next order in the coupling constant and for larger values of $B$. The soft, infinite-order transition of the modified source theory is removed in the limit $B \ll 1$.


KEY WORDS: Spin phonon transition; Spin phonon ground state.

## 1. INTRODUCTION

I continue ${ }^{(1)}$ the study of the spin phonon system with unperturbed splitting $B$, coupling constant $\alpha$, and upper phonon cutoff unity. My goal is the systematic study of the $B \ll 1$ limit. The variational calculations of part I showed that there are two regions of $\alpha$ where there are changes in behavior. The variational extension of the modified source theory gave a continuous crossover to a classical energy near $\alpha=1 / 2$. This occurs with a parity eigenfunction, nonzero overlap $Z$, and a finite inverse length $\beta$ for the correlation functions. However, in the region $1 / 2<\alpha<1$, when $B \ll 1$, we have $Z=(\beta e)^{\alpha / 1-\alpha}$ and both $Z$ and $\beta$ are very small. Still at $\alpha \sim 1$ there is a weak, infinite-order transition superposed on the smooth classical behavior. Another, symmetry-breaking, theory also locates the crossover to classical behavior at $\alpha \sim 1 / 2$. But it gives a second-order phase transition

[^0]with long-range correlation functions for all $\alpha>1 / 2$ and classical behavior with quantum fluctuations. Nothing unusual happens near $\alpha \sim 1$. If one puts an infrared cutoff $k_{0} \ll 1$, both theories give the crossover to classical behavior at $\alpha \sim 1 / 2$, and have everywhere continuous energies and finite range (which, however, depends on $k_{0}$ ).

These calculations illuminate the deficiencies of the simple modified source and classical theories. Here I try a different approach that focuses specifically on $B \ll 1$.

I examine the simplest approach in Sections 2-4. It features a source transformation with $f_{0}(k)=\frac{1}{2}(\alpha / \pi)^{1 / 2} D / k^{3 / 2}$. The transformed Hamiltonian has the constant $-\alpha / 2$ and a spin-dependent term that is proportional to $B$. The diagonal part, proportional to $B$, is zero because the overlap is zero. The Hamiltonian is adapted to a perturbation treatment for $B \ll 1$. However, simple Rayleigh-Schrödinger perturbation theory is not appropriate. Instead, Brillouin-Wigner theory, which incidentally yields an upper bound, provides an effective control of the infrared behavior. The analysis can be carried out through terms of order $B^{2}$. In fact, for $\alpha \ll 1$ one finds the additional term $-B / 2$, which is present in ordinary perturbation theory as applied to the original Hamiltonian. At $\alpha=1 / 2$ there is a smooth crossover to the classical theory with additional quantum fluctuations. The width of the crossover depends logarithmically on $B$. The analysis uses explicit parity eigenfunctions and indicates that there is no transition at all near $\alpha=1$, to order $B^{2}$.

In Section 5, I prepare the Hamiltonian with a modified source transformation

$$
f(k)=\frac{1}{2}\left(\frac{\alpha}{\pi}\right)^{1 / 2} \frac{D}{\sqrt{k}} \frac{1}{k+\beta}
$$

and then apply the Brillouin-Wigner (BW) analysis. If one uses the $\beta=B Z$ of the standard modified source theory, one starts with a diagonal part that has the phase diagram of that theory and with an off-diagonal part that is again proportional to $B$. The BW improvement now supplies the smooth crossover to classical behavior near $\alpha=1 / 2$ that is missing in the modified source theory. It also yields quantum fluctuation corrections to the classical theory. On the other hand, the weak, infinite-order transition near $\alpha=1$ remains. This theory is also valid for larger values of $B$ in the region $\alpha \ll B / 2$.

The analysis can be extended by leaving $\beta$ free for variation at the end of the BW procedure. If $B \ll 1$, one finds that $\beta \ll 1$ can be chosen to be nonzero and to lower the energy. This wipes out the infinite-order transition of the modified source theory. The extra terms in the energy are
very small $\left[\sim\left(B^{2} / \alpha\right) \exp \left(-2 \alpha^{2} / B^{2}\right)\right]$, so that there is no conflict with the results of Section 2 , which holds to order $B^{2}$.

Together with the results of part II which yield a first-order transition in $B \gg 1$ we have a partial understanding of the phase diagram. The region $B \sim 1$ is at present only treated by the variational approximations of I. There is still no convincing theory of this region.

## 2. BRILLOUIN-WIGNER TREATMENT

Let us start by transforming the Hamiltonian with

$$
\begin{align*}
U & =\exp \left(i \sigma_{x} \int f_{0} p d \mathbf{k}\right)  \tag{1}\\
f_{0}(k) & =\frac{1}{2}\left(\frac{\alpha}{\pi}\right)^{1 / 2} \frac{D}{k^{3 / 2}} \tag{2}
\end{align*}
$$

Then

$$
\begin{align*}
H_{T} & =U H U^{-1}=H_{0}+V-\frac{\alpha}{2}\left(1-k_{0}\right) \\
V & =-\frac{B}{2}\left(\sigma_{z} \cos 2 \int f_{0} p d \mathbf{k}+\sigma_{y} \sin 2 \int f_{0} p d \mathbf{k}\right) \tag{3}
\end{align*}
$$

When there is an infrared cutoff the overlap is

$$
\begin{equation*}
Z \equiv \int \phi_{0}^{2} \cos \left(2 \int f_{0} p d \mathbf{k}\right) d \mathbf{p}=\exp \left(-\int f_{0}^{2} d \mathbf{k}\right)=k_{0}^{\alpha} \tag{4}
\end{equation*}
$$

This form of the Hamiltonian has the perturbation $V$ strictly proportional to $B$ and is the natural starting point when $B \ll 1$. The unitary operator commutes with the parity operator. We will use wave functions that involve operators that commute with parity operating on a noninteracting ground state $\phi_{0}\binom{1}{0}$. Thus, we will have a ground state that is always an eigenfunction of parity. It turns out that we do not need the infrared cutoff and can set $k_{0}=0$ from the start.

I will show that as $\alpha$ starts out from zero, the energy starts as $-\alpha / 2-B^{(1+\alpha)} / 2$ as in the source theory. But the transition region is near $\alpha=1 / 2$ (rather than $\alpha=1$ ) as for the variational calculation of part I. For $\alpha>1 / 2$ one approaches the result of the classical theory $-\alpha / 2-B^{2} / 8 \alpha$. The theory includes quantum fluctuations and is everywhere superior to both. The energy and all its derivatives with respect to $\alpha$ are continuous functions of $\alpha$.

My aim is to calculate the energy accurately through terms of order $B^{2}$. (From this point on I leave off the constant $-\alpha / 2$, which can be restored at the end.) The energy will be calculated by the lowest order Brillouin-Wigner perturbation theory. If $H_{T}=H_{0}+V$, the energy is

$$
\begin{equation*}
E \leqslant-W(E) \tag{5}
\end{equation*}
$$

where

$$
\begin{equation*}
W(E)=\left\langle\Phi_{0}\right| V \frac{1}{H_{0}-E} V\left|\Phi_{0}\right\rangle \tag{6}
\end{equation*}
$$

Here $\Phi_{0}$ is the noninteracting ground state, and it is assumed that $\left\langle\Phi_{0}\right| V\left|\Phi_{0}\right\rangle=0$ as in the present situation. The presence of $E$ in the propagator $1 /\left(H_{0}-E\right)$ is essential, and one would lose everything with the Rayleigh-Schrödinger form.

The variational basis of the BW theory is that the true energy

$$
\begin{equation*}
E \leqslant \frac{\langle\Phi| H_{0}+V|\Phi\rangle}{\langle\Phi \mid \Phi\rangle} \tag{7}
\end{equation*}
$$

for any trial function $\Phi$. Choose the unnormalized

$$
\begin{equation*}
\Phi=\left(1-\lambda \frac{1}{H_{0}-E} V\right) \Phi_{0} \tag{8}
\end{equation*}
$$

where $\lambda$ and $E$ are variational parameters. There is no problem in taking $E$ to be the true energy. Then for $\lambda=1$ a short calculation gives the above result. For $\lambda \neq 1$ we have

$$
\begin{equation*}
E \leqslant\left(\lambda^{2}-2 \lambda\right) W(E) \tag{9}
\end{equation*}
$$

where the minimization with respect to $\lambda$ gives

$$
\begin{equation*}
\lambda^{2} W \frac{\partial W}{\partial E}+\lambda\left(W+E \frac{\partial W}{\partial E}\right)-W=0 \tag{10}
\end{equation*}
$$

However, we do not need this improvement in the calculation through terms of order $B^{2}$. In all of these arguments one notes that expressions with an odd number $V$ operators also do not contribute.

To have an explicit form, use the parametrization

$$
\begin{equation*}
\frac{1}{H_{0}+|E|}=\int_{0}^{\infty} d y e^{y E} e^{-y H_{0}}, \quad \varepsilon=|E| \tag{11}
\end{equation*}
$$

We have the integrals

$$
\begin{align*}
& \left\langle\left[\exp \left(i 2 \int f p d \mathbf{k}\right)\right] \phi_{0}\right| \exp \left(-y H_{0}\right)\left|\left[\exp \left(i 2 \int f p d \mathbf{k}\right)\right] \phi_{0}\right\rangle \\
& \quad=\exp \left\{-2 \int f^{2}[1-\exp (-y k)] d \mathbf{k}\right\} \\
& \left\langle\left[\exp \left(i 2 \int f p d \mathbf{k}\right] \phi_{0}\left|\exp \left(-y H_{0}\right)\right|\left[\exp \left(-i 2 \int f p d \mathbf{k}\right)\right] \phi_{0}\right\rangle\right.  \tag{12}\\
& \quad=\exp \left\{-2 \int f^{2}[1+\exp (-y k) d \mathbf{k}\}\right.
\end{align*}
$$

The second integral vanishes as the infrared cutoff goes to zero. This is the usual zero overlap with our $f(k)=f_{0}(k)$.

Hence the expression for the energy is

$$
\begin{equation*}
|E| \geqslant\left(\frac{B}{2}\right)^{2} \int_{0}^{\infty} d y e^{-y \varepsilon} e^{-2 \alpha J(y)} \tag{13}
\end{equation*}
$$

Here

$$
\begin{equation*}
J(y)=\int_{0}^{y} d k \frac{1-e^{-k}}{k}=\int_{0}^{1} \frac{d k}{k}\left(1-e^{-y k}\right) \tag{14}
\end{equation*}
$$

$J(y)$ can be expressed in terms of the exponential integral

$$
\begin{equation*}
J(y)=\ln y+\gamma+E_{1}(y), \quad \gamma=0.5772 \ldots \tag{15}
\end{equation*}
$$

It has the limiting behavior

$$
\begin{array}{rlrl}
J(y) & \rightarrow y-\frac{y^{2}}{4} & \text { as } y \rightarrow 0 \\
& \rightarrow \ln y+\gamma+\frac{e^{-y}}{y} & & \text { as } \quad y \rightarrow \infty \tag{16}
\end{array}
$$

## 3. ANALYSIS OF /(b|є)

Let

$$
\begin{align*}
b & =2 \alpha-1 \\
I(b \mid \varepsilon) & =\int_{0}^{\infty} d y e^{-y \varepsilon} e^{-(1+b) J(y)} \tag{17}
\end{align*}
$$

We are particularly interested in the behavior as $\varepsilon \rightarrow 0$. There is an upper bound that arises because

$$
\begin{equation*}
J(y) \geqslant \ln (1+y) \tag{18}
\end{equation*}
$$

The leading terms are the same for $y \rightarrow 0$ and $y \rightarrow \infty$ but differ by the Euler constant $\gamma$ as $y<\infty$. The bound is

$$
\begin{align*}
I(b \mid \varepsilon) & \leqslant I_{0}(b \mid \varepsilon)  \tag{19}\\
I_{0}(b \mid \varepsilon) & =\int_{0}^{\infty} d y e^{-y \varepsilon}(1+y)^{-(1+b)} \\
& =e^{\varepsilon} \varepsilon^{b} \Gamma(-b \mid \varepsilon) \tag{20}
\end{align*}
$$

Here $\Gamma$ is the incomplete gamma function. ${ }^{(2)}$ The expansion in $\varepsilon$ for all noninteger $b$ is

$$
\begin{equation*}
I_{0}(b \mid \varepsilon)=e^{\varepsilon}\left\{\varepsilon^{b} \Gamma(-b)+\frac{1}{b}+\frac{\varepsilon}{1-b}-\frac{\varepsilon^{2}}{2(2-b)}+\cdots\right\} \tag{21}
\end{equation*}
$$

If $b>1(\alpha>1)$, the leading terms are

$$
\begin{equation*}
I_{0}(b \mid \varepsilon) \rightarrow \frac{1}{b}+\frac{\varepsilon}{b(1-b)} \tag{22}
\end{equation*}
$$

If $b<1(\alpha<1)$, the noninteger power of $\varepsilon$ is important

$$
\begin{equation*}
I_{0}(b \mid \varepsilon) \rightarrow \frac{1}{b}+\varepsilon^{b} \Gamma(-b)+\cdots \tag{23}
\end{equation*}
$$

In addition, for $b<0(\alpha<1 / 2)$, the $\varepsilon^{b}$ term dominates the constant $1 / b$. The $\Gamma$ function is analytic in $b$ in the vicinity of $b=0$, but there is a confluence of $\varepsilon^{b}$ and the constant term, leading to logarithmic terms in $\varepsilon$. We have

$$
\begin{equation*}
\Gamma(b \mid \varepsilon)=-\gamma-\ln \varepsilon+\varepsilon-b\left(\frac{\ln ^{2} \varepsilon}{2}+\gamma \ln \varepsilon+c_{2}\right) \tag{24}
\end{equation*}
$$

Here I have used

$$
\begin{align*}
\Gamma(-b) & =-\frac{1}{b}-\gamma-b c_{2} \\
c_{2} & =\frac{\pi^{2}}{12}+\frac{\gamma^{2}}{2} \tag{25}
\end{align*}
$$

There is the same type of behavior at all integer $b$. At $b=1$

$$
\begin{equation*}
I_{0}(1 \mid \varepsilon)=e^{\varepsilon}\{1+\varepsilon \ln \varepsilon+(\gamma-1) \varepsilon+\cdots\} \tag{26}
\end{equation*}
$$

I now turn to the analysis of $I(b \mid \varepsilon)$. The results are similar to those for $I_{0}(b \mid \varepsilon)$. When $b>1$ we only need

$$
\begin{equation*}
I(b \mid \varepsilon) \rightarrow K_{0}(b)-\varepsilon K_{1}(b)+\cdots \tag{27}
\end{equation*}
$$

We have two sets of moments

$$
\begin{align*}
& K_{n}(b)=\int_{0}^{\infty} d y y^{n} e^{-(1+b), J(y)}  \tag{28}\\
& L_{n}(b)=\int_{0}^{\infty} d y y^{n} e^{-y} e^{-(1+b) J(y)}
\end{align*}
$$

The $K_{n}$ only exist for $n<b$. Integrating by parts, we have the relation

$$
\begin{equation*}
K_{n}(b)=\frac{b+1}{b-n} L_{n}(b) \tag{29}
\end{equation*}
$$

For any given $b>1$, terms in $\ln \varepsilon$ appear for $n>b$, but will not be needed in this analysis.

To handle the case of $b<1$, note the functional equation (obtained by integration by parts

$$
\begin{equation*}
\left(\varepsilon \frac{\partial}{\partial \varepsilon}-b\right) I(b \mid \varepsilon)=-(b+1) I(b \mid \varepsilon+1) \tag{30}
\end{equation*}
$$

Near $\varepsilon=0$

$$
\begin{equation*}
I(b \mid \varepsilon+1)=\sum \frac{(-1)^{n}}{n!} L_{n} \tag{31}
\end{equation*}
$$

For noninteger $b$ we have the solution

$$
\begin{equation*}
I(b \mid \varepsilon)=C(b) \varepsilon^{b}-\sum_{n} \frac{b+1}{n-b} \frac{(-1)^{n}}{n!} \varepsilon^{n} L_{n} \tag{32}
\end{equation*}
$$

The constant $C(b)$ is obtained by equating the two different expressions for $I(b \mid 1)$,

$$
\begin{equation*}
C(b)=-\frac{1}{b} L_{0}+\sum_{n \neq 0} \frac{1+b}{1-b} \frac{(-1)^{n}}{n!} L_{n}(b) \tag{33}
\end{equation*}
$$

At $b=0(\alpha=1 / 2)$, dropping terms that vanish as $\varepsilon \rightarrow 0$,

$$
\begin{equation*}
I(0 \mid \varepsilon)=-e^{-\gamma} \ln \varepsilon-\sum_{n \neq 0} \frac{1}{n} \frac{(-1)^{n}}{n!} L_{n}(0) \tag{34}
\end{equation*}
$$

Near $b=0$, keeping only terms that become infinite as $\varepsilon \rightarrow 0$,

$$
\begin{equation*}
\left.I(b \mid \varepsilon)=-e^{-\gamma} \ln \varepsilon-b\left\{e^{-\gamma}+L_{0}^{1}(0)-\sum_{n \neq 0} \frac{(-1)^{n}}{n!} \frac{L_{n}(0)}{n}\right] \ln \varepsilon+e^{-\gamma} \ln ^{2} \varepsilon\right\} \tag{35}
\end{equation*}
$$

At $b=1(\alpha=1)$ the solution is

$$
\begin{equation*}
I(1 \mid \varepsilon)=2 e^{-\gamma}+e^{-2 \gamma} \varepsilon \ln \varepsilon+O(\varepsilon) \tag{36}
\end{equation*}
$$

The expressions involve the quantities $L_{n}(b)$. Certain special values can be obtained exactly. Using the expression for $J(y)$ in terms of the exponential integral $E_{1}(y)$ and integrating by parts, we find

$$
\begin{equation*}
L_{n}(n)=\frac{e^{-(1+n) y}}{1+n} \tag{37}
\end{equation*}
$$

Since $d J / d y \geqslant 0$, we have $\left(d L_{n} / d b\right)(b)<0$ with $L_{n}(-1)=n!$.
In particular, $L_{0}(b)$ dominates for $b>1$ as $\varepsilon \rightarrow 0$ and is important for all $b$. It is a smooth decreasing function starting at $L_{0}(-1)=1$ with $L_{0}(0)=e^{-\gamma}=0.5614$. For large $b$ there is the asymptotic expansion

$$
\begin{equation*}
L_{0}(b) \rightarrow \frac{1}{1+b}\left[1-\frac{1}{2(1+b)}+\cdots\right] \tag{38}
\end{equation*}
$$

There is an elementary lower bound that follows from $J(y) \leqslant y$. It is

$$
\begin{equation*}
L_{0} \leqslant 1 /(b+2) \tag{39}
\end{equation*}
$$

There is a stronger lower bound that follows from Jensen's inequality with a weight function $w(t)=t e^{-y t}$. The optimum $t$ is

$$
\begin{align*}
t & =\alpha+\left(1+\alpha^{2}\right)^{1 / 2}  \tag{40}\\
L_{0} & \geqslant \exp \left[(2 \alpha-1) \ln t+1-\frac{1}{t}-2 \alpha \ln (1+t)\right] \tag{41}
\end{align*}
$$

The value at $\alpha=1 / 2(b=0)$ is 0.560 , close to the exact 0.5614 .
There is an upper bound that follows from $J(y) \geqslant \ln (1+y)$,

$$
\begin{equation*}
L_{0} \leqslant e^{1} \Gamma(-b \mid 1) \tag{42}
\end{equation*}
$$

and may be computed from tables of the incomplete gamma function.

## 4. GROUND-STATE ENERGY

Let us now turn to the results for the ground-state energy, given as the solution of

$$
\begin{equation*}
\varepsilon=(B / 2)^{2} I(b \mid \varepsilon) \tag{43}
\end{equation*}
$$

If we calculate only to order $B^{2}$, we can set $\varepsilon=0$ on the right-hand side in the entire region $\alpha>1 / 2$. Then

$$
\begin{equation*}
\varepsilon=\left(\frac{B}{2}\right)^{2} \frac{2 \alpha}{2 \alpha-1} L_{0}(b) \tag{44}
\end{equation*}
$$

This approaches

$$
\begin{equation*}
\varepsilon=\left(\frac{B}{2}\right)^{2} \frac{1}{2 \alpha}\left(1+\frac{1}{4 \alpha}+\cdots\right) \tag{45}
\end{equation*}
$$

when $2 \alpha \gg 1$. The last term arises from quantum fluctuation corrections to the classical theory. As $\alpha$ approaches $1 / 2$ from above

$$
\begin{equation*}
\varepsilon \rightarrow\left(\frac{B}{2}\right)^{2} \frac{e^{-\gamma}}{2 \alpha-1} \tag{46}
\end{equation*}
$$

Of course this no longer holds near $\alpha=1 / 2$ when $\varepsilon$ is large. Fractional and logarithmic terms only enter in higher order and there is nothing special about the region $\alpha \sim 1$.

In the region $\alpha<1 / 2$ we need to retain the fractional $\varepsilon^{b}$ term and the constant term in $I(b \mid \varepsilon)$. Then

$$
\begin{equation*}
\varepsilon=\left(\frac{B}{2}\right)^{2}\left[C(b) \varepsilon^{b}+\frac{b+1}{b} L_{0}(b)\right] \tag{47}
\end{equation*}
$$

Since $b<0$, the fractional term dominates and

$$
\begin{equation*}
\varepsilon \rightarrow\left\{(B / 2)^{2} C\right\}^{1 / 2(1-\alpha)} \tag{48}
\end{equation*}
$$

At $b=0(\alpha=1 / 2)$ we use Eq. (34). $\varepsilon$ is obtained as the solution of

$$
\begin{equation*}
\varepsilon^{*}=(B / 2)^{2} e^{-\gamma} \ln 1 / \varepsilon^{*} \tag{49}
\end{equation*}
$$

$\varepsilon^{*}$ involves an infinite series of logarithms of $B$. Then one uses Eq. (35), linear in $b$, to find $\varepsilon$ in the vicinity of $b=0$. The width of the crossover region is of order $b \sim 1 / \ln \left(1 / \varepsilon^{*}\right)$ and goes slowly to zero as $b \rightarrow 0$.

In the present theory, accurate to order $B^{2}$, the transition from the weak coupling to classical theory is smooth. It occurs near $\alpha=1 / 2$. All of
this is compatible with the variational calculation of $I$. On the other hand, the present analysis is superior for $\alpha \geqslant 1$, since it includes quantum fluctuations. The variational calculation gives an infinite-order transition at $\alpha=\frac{1}{2}\left[1+\left(1+B^{2}\right)^{1 / 2}\right]$ for $B<e /\left(e^{2}-1 / 4\right)$. The modified source theory gives the same type of transition at $\alpha=1$ for $B<1 / e$. The present analysis gives no transition at all. The two results are in agreement when one calculates energies to order $B^{2}$. The energies in the variational and source calculations are of order $(B e)^{1 /(1-\alpha)}$, i.e., vanishingly small near $\alpha=1$.

What really happens for $B \ll 1$ is not settled by this analysis. The variational calculation neglects quantum fluctuations in the higher $\alpha$ phase and is therefore suspect. In the next section I attack the $B<1$ region starting from a Hamiltonian that has been prepared to include the modified source theory in the unperturbed Hamiltonian. I show that there is in fact no transition at all near $\alpha=1$ when $B \ll 1$.

## 5. APPLICATION TO SOURCE THEORY

One can apply the Brillouin-Wigner variational treatment to a Hamiltonian that is first prepared by making a source-type canonical transformation. The value of this procedure as a starting point for a systematic analysis was emphasized by Emery and Luther, ${ }^{(3)}$ who noted that the $-(B Z / 2) \sigma_{z}$ term removes the degeneracy at $B=0$.

Let us introduce

$$
\begin{gather*}
U_{s}=\exp \left(i \int p f d \mathbf{k} \sigma_{x}\right)  \tag{50}\\
f(k)=\frac{1}{2}\left(\frac{\alpha}{\pi}\right)^{1 / 2} \frac{D}{\sqrt{k}} \frac{1}{k+\beta}, \quad \ln \frac{1}{Z}=\xi(\beta) \tag{51}
\end{gather*}
$$

We leave $\beta$ free and arrange the Hamiltonian as

$$
\begin{align*}
U_{S} H U_{s}^{-1} & =-\frac{\alpha}{2} \frac{1}{1+\beta}-\frac{B Z}{2} \sigma_{z}+V_{1}+V_{2}+H_{0}  \tag{52}\\
V_{1} & =-\frac{B}{2} \sigma_{z}\left(\cos 2 \int p d \mathbf{k}-Z\right)-\sigma_{y}\left(\frac{B}{2} \sin 2 \int f p d \mathbf{k}-\beta \int p f d \mathbf{k}\right) \\
V_{2} & =\beta \int f\left(\sigma_{y} p-\sigma_{x} q\right) d \mathbf{k} \tag{53}
\end{align*}
$$

Note the consequences of this arrangement. First, $V_{2}$ vanishes when applied to the new unperturbed state vector $\binom{1}{0} \phi_{0}$, so that it does not enter in the BW calculation. Second, in the normal phase $(\beta \neq 0)$ the $\sigma_{z}$ part of
$V_{1}$ starts as $\alpha^{2}$. The contribution from the off-diagonal $\sigma_{y}$ part is $\sim \alpha^{3}$. So we will obtain results that are valid to order $\alpha^{2}$ for all $B$. Third, if $\beta$ is chosen to have the modified source value $\beta=B Z, V_{1}$ has an overall factor $B$. The treatment is thus again suited to a study of the $B \ll 1$ limit.

Finally, if $\beta=B Z$, the starting point is the full phase diagram of the modified source theory. The BW treatment allows us to incorporate quantum fluctuations and the crossover to classical theory for $1 / 2<\alpha<1$ when $B<1$.

There is an advantage in leaving $\beta$ free and determining it later by the variational principle. Introduce

$$
\begin{align*}
\varepsilon_{1} & =-E-\frac{\alpha}{2} \frac{1}{1+\beta}-\frac{B Z}{2}  \tag{54}\\
\eta(y) & =\int f^{2} e^{-\nu k} d \mathbf{k} \tag{55}
\end{align*}
$$

Using our earlier procedure

$$
\begin{align*}
\varepsilon_{1} \geqslant & \left(\frac{B}{2}\right)^{2} \int_{0}^{\infty} e^{-y \varepsilon_{1}} d y Z^{2}(\cosh 2 \eta-1) \\
& +\int_{0}^{\infty} e^{-y\left(e_{1}-B Z\right)}\left\{\left(\frac{B Z}{2}\right)^{2} \sinh 2 \eta-\beta B Z \eta+\frac{\beta^{2}}{2} \eta\right\} \tag{56}
\end{align*}
$$

In the large- $\alpha$ region with $\beta=0$ this is the theory of the previous section. However, we can now ask whether it is possible to improve the result by taking $\beta \neq 0$ in this region. This is indeed the case.

When $\beta \ll 1$ we expand $\eta(y)$. There is a term in $\beta \ln \beta$. Then the equation determining $\varepsilon_{1}$ simplifies to

$$
\begin{equation*}
\varepsilon_{1}=(B / 2)^{2} I\left(b \mid \varepsilon_{2}\right) \tag{57}
\end{equation*}
$$

with

$$
\begin{equation*}
\varepsilon_{2}=\varepsilon_{1}-4 \beta \ln \frac{1}{\beta} \cdot \alpha \tag{58}
\end{equation*}
$$

We determine $\beta$ by examining the energy expression

$$
\begin{equation*}
|E|=\frac{\alpha}{2}-\frac{\alpha \beta}{2}+\frac{(\beta e)^{\alpha}}{2}+\varepsilon_{1} \tag{59}
\end{equation*}
$$

since $Z \rightarrow(\beta e)^{\alpha}$. In the region $\alpha>1$

$$
\begin{equation*}
\varepsilon_{1} \rightarrow\left(\frac{B}{2}\right)^{2}\left\{K_{0}(b)+4 \alpha K_{1}(b) \beta \ln \frac{1}{\beta}\right\} \frac{1}{1+(B / 2)^{2} k_{1}} \tag{60}
\end{equation*}
$$

It is now possible to choose $\beta \ll 1$ but $\neq 0$. The $\beta \ln \beta$ term dominates the $\beta^{\alpha}$ term of the source theory and we find $(B \ll 1)$

$$
\begin{equation*}
\beta e=\exp \left(-\frac{1}{2 B^{2}} \frac{1}{K_{1}}\right) \rightarrow \exp \left(-\frac{2 \alpha^{2}}{B^{2}}\right) \tag{61}
\end{equation*}
$$

The energy of the $\beta=0$ theory is lowered by the very small term

$$
\begin{equation*}
\frac{B^{2}}{4 \alpha} \frac{1}{e} \exp \left(-\frac{2 \alpha^{2}}{B^{2}}\right) \tag{62}
\end{equation*}
$$

On the other hand, in the region $\alpha<1, \varepsilon_{1}$ is again shifted by a term proportional to $\beta \ln (1 / \beta)$. But this is small compared to the source term $\beta^{\alpha}$. So $\beta$ is determined for $\alpha<1$ by the theory of Sections $2-4$. In the close vicinity of $\alpha=1$ there is a smooth change in $\beta$ determined by the confluence of the two types of terms.

The result of this section is that the combination of the source transform with an inverse length $\beta$ and the BW variational approach offers great advantages. Not only do we correct the source theory to cover the crossover to classical behavior plus quantum fluctuations near $\alpha=1 / 2$, as was the case for the $\beta=0$ theory. We also obtain a better calculation of the energy for larger values of $B$ in the normal regime. This is already achieved with $\beta=B Z$.

By leaving $\beta$ free for variation, we wipe out the soft transition of the source theory near $\alpha=1$ and lower the energy further. Of course the full analysis of Eq. (56) is very complicated. We have no definite conclusions about the transition when $B \sim 1 / e$. The results of Part II show that there is a first-order transition that develops at some point when $B$ is increased.

## REFERENCES

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[^0]:    ${ }^{1}$ The Martin Fisher School of Physics, Brandeis University, Waltham, Massachusetts 02254.

